



Sr. No. :

## Account Opening Kit (For Individual Client)

### Select Account Type

Trading  Demat  Reactivation

Branch Code	
Name of the Client	
Demat Account	1 2 0 9 1 4 0 0
Trading Code (Terminal)	

*Come Together &  
Grow Together....*

SEBI - INZ000205429

NSE - 90148

BSE - 6730

MCX - 56900

**Concunsmart Shares and Stock Broker Private Limited**

**कोन्कनस्मार्ट शेअर्स आणि स्टॉक ब्रोकर प्रा. लिमिटेड**

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Clearing Member: Stock Holding Corporation of India Limited (NSE FO) SMC Global Securities Limited (NSE CO/ MCX Commodity)																															
Compliance Officer Name, Phone No. & Email ID		Mr. Srinivas Yemula, 022 - 62921600 & <a href="mailto:compliance@concunsmart.com">compliance@concunsmart.com</a>																													
Name of the Designated Director, Phone & Email ID		Mr. Prashant Angane, 022 - 62921600 & <a href="mailto:prashant@concunsmart.com">prashant@concunsmart.com</a>																													
Registered Office		G 405, Arabiana Casa Rio, Beside Pawar Public School, Nilje, Dombivali (E), Thane - 421204																													
Corporate Office		C 4, Kantilal Maganlal Estate, Silk Mill Compound, LBS Road, Bhandup (W), Mumbai - 400078																													
Telephone 022 - 62921600		Website <a href="http://www.concunsmart.com">www.concunsmart.com</a>																													
<b>CONCUNSMART SHARES &amp; STOCK BROKER PRIVATE LIMITED hereinafter referred as "CONCUNSMART"</b>																															
For any grievance / dispute, please contact M/s Concunsmart Shares and Stock Broker Private Limited at 022 - 62921600 or write to us at <a href="mailto:grievance@concunsmart.com">grievance@concunsmart.com</a> . In case not satisfied with the response, you may contact the concerned exchange at the contact details given below																															
<b>Exchange</b>		<b>Contact No.</b>																													
<b>Email ID</b>																															
NSE	022 - 26598190	<a href="mailto:ignse@nse.co.in">ignse@nse.co.in</a>																													
BSE	022-22728097	<a href="mailto:is@bseindia.com">is@bseindia.com</a>																													
MCX	022-66494151	<a href="mailto:ir@mcxindia.com">ir@mcxindia.com</a>																													
CDSL	022-23023333/1800225533	<a href="mailto:compliants@cdslindia.com">compliants@cdslindia.com</a>																													

**CHECKLIST TABLE FOR ACCOUNT OPENING**

Important Notes: \* Requirements are as per CDSL, CVL and SEBI guidelines  
# Activation is the date post Objection clearance(if any)

If PROOF TYPE is submitted as below, then it should fulfill the mentioned criteria's

PROOF TYPE	REQUIREMENTS*	ID PROOF	ADDRESS PROOF	BANK PROOF	SIGNATURE PROOF
Client Photo	<ul style="list-style-type: none"> <li>Passport Size Color Photo</li> </ul>	NA	NA	NA	NA
PAN Card	<ul style="list-style-type: none"> <li>Coloured Photocopy preferred</li> </ul>	<ul style="list-style-type: none"> <li>Name, Photograph, Date of Birth should match</li> <li>Signature should be clearly visible</li> </ul>	NA	NA	<ul style="list-style-type: none"> <li>Signature should be clearly visible and should match</li> </ul>
Passport	<ul style="list-style-type: none"> <li>Photocopy of Name and Address page (2 pages) Valid for atleast next TWO months from the date of Account Activation#</li> </ul>	<ul style="list-style-type: none"> <li>Name, Photograph, Date of Birth should match</li> <li>Signature should be clearly visible</li> </ul>	<ul style="list-style-type: none"> <li>Address mentioned in passport should match with the address mentioned in KYC</li> </ul>	NA	<ul style="list-style-type: none"> <li>Signature should be clearly visible and should match</li> </ul>
Driving Licence	<ul style="list-style-type: none"> <li>Photocopy of Name and Address page (2 pages) Valid for atleast next 2 months from the date of A/c Activation</li> <li>Learning License is Not Acceptable</li> </ul>	<ul style="list-style-type: none"> <li>Name, Photograph, Date of Birth should match</li> <li>Signature should be clearly visible</li> </ul>	<ul style="list-style-type: none"> <li>Address mentioned in Driving Licence should match with the address mentioned in KYC</li> </ul>	NA	<ul style="list-style-type: none"> <li>Signature should be clearly visible and should match</li> </ul>
Voter ID	<ul style="list-style-type: none"> <li>Photocopy of Name and Address page (2 pages)</li> <li>Coloured Photocopy preferred</li> </ul>	<ul style="list-style-type: none"> <li>Name, Photograph should match</li> </ul>	<ul style="list-style-type: none"> <li>Address mentioned in Voter Id\ should match with the address mentioned in KYC</li> </ul>	NA	NA
Aadhar	<ul style="list-style-type: none"> <li>Coloured Photocopy preferred of Aadhar</li> <li>Card (front and reverse)</li> </ul>	<ul style="list-style-type: none"> <li>Name, Photograph should match</li> </ul>	<ul style="list-style-type: none"> <li>Address mentioned in Aadhar card should match with the address mentioned in KYC</li> </ul>	NA	NA
Ration Card	<ul style="list-style-type: none"> <li>Photocopy of Name/Address and Family members list page (2 pages)</li> </ul>	NA	<ul style="list-style-type: none"> <li>Address mentioned in Ration Card should match with the address mentioned in KYC</li> </ul>	NA	NA
Telephone Bills/ Electricity Bill/ Gas Bill/ Mobile Bill	<ul style="list-style-type: none"> <li>The Proof should not be more than 3 months old</li> <li>Should be valid for next 10 days post Activation#</li> <li>Gas Cylinder bill/receipt and Mobile Bill not acceptable</li> </ul>	NA	<ul style="list-style-type: none"> <li>Address mentioned in Telephone/Electric Bills should match with the address mentioned in KYC</li> </ul>	NA	NA
Bank Statement	<ul style="list-style-type: none"> <li>Original Bank Statement</li> <li>Latest quarter (3 months transactions).</li> <li>Bank Attestation is Mandatory where the Bank statement does not contain pre-printed Bank logo, address and other relevant details about the Bank. (Bank Attestation should contain Authorized signatory of Bank with Name and Employee Code and Bank seal)</li> </ul>	NA	<ul style="list-style-type: none"> <li>Address mentioned in Bank Statement should match with the address mentioned in KYC</li> </ul>	<ul style="list-style-type: none"> <li>The below should match with the details mentioned in KYC <ul style="list-style-type: none"> <li>Account holder Name</li> <li>Bank Account No</li> <li>Bank Account Type [Savings/Current]</li> </ul> </li> </ul>	NA

## INSTRUCTION

PROOF TYPE	REQUIREMENTS*	ID PROOF	ADDRESS PROOF	BANK PROOF	SIGNATURE PROOF
Bank Passbook	<ul style="list-style-type: none"> <li>Bank Passbook containing</li> <li>Bank Details</li> <li>Client Details</li> <li>Latest quarter (3 months transactions) pages.</li> <li>Bank Attestation is Mandatory where the Bank statement does not contain pre-printed Bank logo, address and other relevant details about the Bank. (Bank Attestation should contain Authorized signatory of Bank with Name and Employee Code and Bank seal)</li> </ul>	NA	<ul style="list-style-type: none"> <li>Address mentioned in Bank Passbook should match with the address mentioned in KYC</li> </ul>	<ul style="list-style-type: none"> <li>The below should match with the details mentioned in KYC</li> <li>Account holder Name</li> <li>Bank Account No</li> <li>Bank Account Type [Savings/Current]</li> </ul>	NA
Cancelled Cheque	<ul style="list-style-type: none"> <li>Original Cancelled Cheque leaf</li> </ul>			<p>The cancelled cheque should have:</p> <ul style="list-style-type: none"> <li>Pre printed Client Name</li> <li>Pre printed Account No</li> <li>IFSC</li> <li>MICR</li> </ul>	NA
Bank Verification Letter	<ul style="list-style-type: none"> <li>Bank's original Letter head</li> <li>Bank Attestation should contain Authorized signatory of Bank with Name and Employee Code and Bank seal</li> <li>Client Name, Account Number, Address, Signature, Account Type, IFSC, MICR</li> </ul>	<ul style="list-style-type: none"> <li>Client's latest photo should be attested by Bank</li> <li>Client Name</li> <li>Bank A/c no</li> </ul>	<ul style="list-style-type: none"> <li>Client's latest photo should be attested by Bank</li> <li>Client Name</li> <li>Bank A/c no</li> <li>Address</li> <li>IFSC</li> <li>MICR</li> <li>Account Type (Savings/Current)</li> </ul>	<ul style="list-style-type: none"> <li>Client's latest photo should be attested by Bank</li> <li>Client Name</li> <li>Bank A/c no</li> <li>Address</li> <li>IFSC</li> <li>MICR</li> <li>Account Type (Savings/Current)</li> <li>Client Signature</li> </ul>	<ul style="list-style-type: none"> <li>Client's latest photo should be attested by Bank</li> <li>Client Name</li> <li>Bank A/c no</li> <li>Address</li> <li>IFSC</li> <li>MICR</li> <li>Account Type (Savings/Current)</li> <li>Client Signature</li> </ul>

### To avoid Objection and Delays

- Ensure the details mentioned in the proof submitted matches with the form filled up by you as per the Checklist table mentioned.
- All proofs, photographs and signature on the form should be clearly visible and should be self attested by client.
- Signature and Date of birth should match with atleast one submitted document proof
- The A/c opening form should be filled in BLACK INK and CAPITAL LETTERS ONLY and do have :
  - Clients Name and Signature at places marked in Grey color
  - Address- as it appears on the address proof. In case permanent & correspondence addresses are different, make sure to provide address proof for both of them
  - Witness signature at places marked in light Grey color
  - N.A. mentioned at all places not applicable to the client
- White ink is not allowed either on form or on agreement. All corrections needs to be countersigned with full signature.
- In person Verification, PAN & Document verification from original has to be done by SEBI registered Authorised Person only.
- In case of DP in joint names, all the proofs-PAN card and address proof- should be provided for the 2nd & 3rd Holder also.

**Know Your Client (KYC)  
Application Form (For Individuals Only)**



Application No. :

Please fill in ENGLISH and in BLOCK LETTERS

**A. Identity Details (please see guidelines overleaf)**

1. Name of Applicant (As appearing in supporting identification document).

Name

Father's/Spouse Name

2. Gender  Male  Female B. Marital status  Single  Married C. Date of Birth 



 / 



 /

3. Nationality  Indian  Other \_\_\_\_\_

4. Status Please tick (✓)  Resident Individual  Non Resident  Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)

5. PAN 



 Please enclose a duly attested copy of your PAN Card

Aadhaar Number, if any: \_\_\_\_\_

6. Proof of Identity submitted for PAN exempt cases Please Tick (✓)

UID (Aadhaar)  Passport  Voter ID  Driving Licence  Others \_\_\_\_\_ (Please see guideline 'D' overleaf)

PHOTOGRAPH

Please affix the recent passport size photograph and sign across it

**B. Address Details (please see guidelines overleaf)**

1. Address for Correspondence

City / Town / Village		Pin Code
State	Country	

2. Contact Details

Tel. (Off.)	(ISD)	(STD)	Tel. (Res.)	(ISD)	(STD)
Mobile	(ISD)	(STD)	Fax	(ISD)	(STD)
E-Mail Id.					

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

Passport  Ration Card  Registered Lease/Sale Agreement of Residence  Driving License  Voter Identity Card  \*Latest Bank A/c Statement/Passbook  \*Latest Telephone Bill (only Land Line)  \*Latest Electricity Bill  \*Latest Gas Bill  Others (Please specify) \_\_\_\_\_

\*Not more than 3 Months old. Validity/Expiry date of proof of address submitted 



 / 



 /

4. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant

City / Town / Village		Pin Code
State	Country	

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

Passport  Ration Card  Registered Lease/Sale Agreement of Residence  Driving License  Voter Identity Card  \*Latest Bank A/c Statement/Passbook  \*Latest Telephone Bill (only Land Line)  \*Latest Electricity Bill  \*Latest Gas Bill  Others (Please specify) \_\_\_\_\_

\*Not more than 3 Months old. Validity/Expiry date of proof of address submitted 



 / 



 /

6. Any other information: \_\_\_\_\_

**DECLARATION**

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place:

Date:

**SIGNATURE OF APPLICANT**

1/22

**FOR OFFICE USE ONLY**

AMC/Intermediary name OR code

(Originals Verified) Self Certified Document copies received

(Attested) True copies of documents received

Main Intermediary

Seal/Stamp of the intermediary should contain

Staff Name

Designation

Name of the Organization

Signature

Date

Seal/Stamp of the intermediary should contain

Staff Name

Designation

Name of the Organization

Signature

Date

IPV Done  on 



 / 



 /

## INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

### A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals,(allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/ Mark sheet issued by Higher Secondary Board / Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

### B. Proof of Identity( POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments Statutory/Regulatory Authorities, Public Sector Undertakings Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

### C. Proof of Address (POA): List of documents admissible as Proof of Address: (\*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or

Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/ Multinationa Foreign Banks / Gazetted Officer / Notary / public / Elected representatives to the Legislative Assembly / Parliament Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc.,to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

### D. Exemptions/clarifications to PAN (\*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, Mfs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

### E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.



4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS \* (Please see instruction E at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1')

Line 1\*, Line 2, Line 3, District\*, Pin / Post Code\*, State / U.T Code\*, City / Town / Village\*, ISO 3166 Country Code\*

4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES\* (Applicable if section 2 is ticked)

Same as Current / Permanent / Overseas Address details Same as Correspondence / Local Address details

Line 1\*, Line 2, Line 3, State\*, ZIP / Post Code\*, City / Town / Village\*, ISO 3166 Country Code\*

5. CONTACT DETAILS (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction F at the end)

Tel. (Off), Tel. (Res), Mobile, FAX, Email ID

6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill 'Annexure B1') (please refer instruction G at the end)

Addition of Related Person Deletion of Related Person KYC Number of Related Person (if available\*)

Related Person Type\*, Name\*, Prefix, First Name, Middle Name, Last Name

PROOF OF IDENTITY [PoI] OF RELATED PERSON\* (Please see instruction (H) at the end)

A- Passport Number, B- Voter ID Card, C- PAN Card, D- Driving Licence, E- UID (Aadhaar), F- NREGA Job Card, Z- Others, S- Simplified Measures Account - Document Type code

7. REMARKS (If any) Mobile no. / Email-ID) (Please refer instruction F at the end)

Text area for remarks

8. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date, Place

Signature / Thumb Impression of Applicant

9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received Certified Copies

KYC VERIFICATION CARRIED OUT BY

Date, Emp. Name, Emp. Code, Emp. Designation, Emp. Branch

[Employee Signature]

INSTITUTION DETAILS

Name, Code

[Institution Stamp]





**ADDITIONAL DETAILS FOR TRADING / DEMAT (Dividend Bank Details)**

**A. BANK ACCOUNT(S) DETAILS**

Bank Name

Branch Name

Branch Address

Bank Account No.

Account Type  SAVINGS  CURRENT  OTHERS  NRI  NRE  NRO

MICR No.  (9 digit code) RTGS/NEFT/IFSC Code

**Proof of Bank submit :**  Bank Statement  Bank Passbook  Cancelled Cheque  Bank verification Letter

**B. DEPOSITORY ACCOUNT(S) DETAILS ( If Existing)  CONCUNSMART  Other**

Depository Participant Name:

Depository Name:  NSDL  CDSL

Beneficiary Name:

DP ID  Client ID :

Should we make this DP as default

**C. NRI (Applicable for NRI/FN Clients only) :**

RBI Ref No

RBI Approval Date  | d | d | m | m | y | y | y | y |

\*Please attach copy of permission for dealing in Securities from Authorised Dealer (Bank) / RBI Approval.

**D. TRADING PREFERENCES**

\*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

Exchange's	Cash Markets	Derivatives	Commodity
NSE	4/22	5/22	6/22
BSE	7/22	<b>MCX</b>	8/22

#If, in future, the client wants to trade on any new segment/ new exchange, separate authorization letter should be provided by the Client.

**TRADING ACCOUNT RELATED DETAILS**

**E. PAST ACTIONS**

Details of any action/proceedings initiated / pending / taken by SEBI / Stock exchange/any other authority against the applicant/constituent or its Partners/Promoters/Whole Time Directors/Authorized Persons in charge of dealing in securities during the last 3 years:

GST DETAILS	
<b>GST Number</b>	<input type="text"/>
<b>GST Implementation Location</b>	<input type="text"/>

**F. DEALINGS THROUGH AUTHORISE PERSON**

**1. If client is dealing through the Authorise Person, provide the following details:**

Authorise Person Name: SEBI Registration number: a) NSE b) BSE Registered office address: (Authorise Person)  Tel. No. & Fax No.: E-mail ID: Website :	_____ _____ _____ _____ _____ _____ _____ _____ _____ _____
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**2. Whether dealing with any other Stock Broker/Authorise Person (incase dealing with multiple Stock Brokers/Authorise Person please provide details of all)**

Name of Stock Broker

Name of Authorise Person, if any

Name of Exchange  Client Code No.

Details of disputes/dues pending from/to such Stock Broker/Authorise Person:

ADDITIONAL DETAILS	
Facilities For Trading Contract Note and Standard Documents }  Educational Qualification Investment/Trading Experience Primary purpose of dealing  Stock Portfolio Any other information	Whether you wish to avail the facility of <input type="checkbox"/> Internet trading <input type="checkbox"/> Wireless technology (Mobile Trading) Whether you wish <input type="checkbox"/> in Electronic Form (Email id same as mentioned on KRA Page) to receive <input type="checkbox"/> in Physical Form  <input type="checkbox"/> Under Graduate <input type="checkbox"/> Graduate <input type="checkbox"/> Post Graduate <input type="checkbox"/> Professional <input type="checkbox"/> Years in Stocks <input type="checkbox"/> Years in Derivatives <input type="checkbox"/> No Prior Experience In Equities : <input type="checkbox"/> Intraday <input type="checkbox"/> Positional <input type="checkbox"/> Investment In Derivatives : <input type="checkbox"/> Trading <input type="checkbox"/> Hedging <input type="checkbox"/> NIL <input type="checkbox"/> < 5 Lacs <input type="checkbox"/> 5-20 Lacs <input type="checkbox"/> 20-50 Lacs <input type="checkbox"/> > 50 Lacs  _____

**3. FATCA / CRS Information**  Yes  No [If YES FATCA Additional Details Required]

(Tick if Applicable) Residence for Tax Purpose in jurisdiction(s) Outside India

Country of Jurisdiction of Residence\*

Tax Identification Number or equivalent (If issued by jurisdiction)\*

Place / City of Birth\*  Country of Birth\*

Address

City / Town / Village  District\*  Pin Code\*

State / UT\*

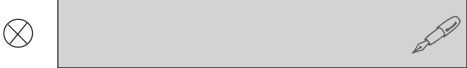
Country\*

**Client Signature** 9/22

**H. NOMINATION DETAILS (for individuals only)**

I/We wish to nominate  I/We do not wish to nominate

**INTRODUCER DETAILS**

Name of the Introducer Address & Phone No.												
Status of the Introducer:	<input type="checkbox"/> Remisier	<input type="checkbox"/> Authorized Person	<input type="checkbox"/> Existing Client	<input type="checkbox"/> Other _____								
Signature of the Introducer												

**BROKERAGE AND STATUTORY CHARGES FOR TRADING ACCOUNT (Tariff Sheet)**

Further, I agree to the following terms of doing business

Exchange	Segment	Sq off				Settlement			Delivery	
		%		Min. (Rs.)		%		Min	Buy/Sell	Min (Rs.)
		Buy	Sell	Buy	Sell	Buy	Sell	(Rs.)		
NSE	Equity	Cash								
		Futures								
		Options	2.50%	2.50%	Rs.100/-	Rs.100/-	2.50%	2.50%	Rs.100/-	
	Currency	Futures								
		Options	2.50%	2.50%	Rs.10/-	Rs.10/-	2.50%	2.50%	Rs.10/-	
BSE	Equity	Cash								
		Futures								
		Options	2.50%	2.50%	Rs.100/-	Rs.100/-	2.50%	2.50%	Rs.100/-	
	Currency	Futures								
		Options	2.50%	2.50%	Rs.10/-	Rs.10/-	2.50%	2.50%	Rs.10/-	
NSE	Commodity	Futures								
		Options	2.50%	2.50%	Rs.10/-	Rs.10/-	2.50%	2.50%	Rs.10/-	

Transaction charges of Rs.8/- per scrip will be debited to client trading A/c arose while scrip's are retained in company client Beneficiary account.

**Note :** In addition to above, Stamp Duty, Securities Transaction Tax, Service Tax, SEBI fee & any other charges as levied by the Exchanges, SEBI, Central Govt., State Govt. and local authorities etc. will be payable by the client.

<b>Client Signature</b>		<b>Place</b>	
<b>Client Name :</b>		<b>Date</b>	/ / 20

**DECLARATION**

**For Trading:** I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.

I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website.

Place -----

(-----)

Date -----

Signature of Client

**VOLUNTARY CLAUSES**

Dear Sir,

❖ **Information through Email**

This is inform you that I/we am/are also desirous of receiving the information as below on my/our registered email id as provided in the KYC Form, for the purpose of receiving electronic contract notes (ECN).

- Copy of my KYC Application Form duly filled in & signed
- Digitally signed contract notes/bills,
- Statement of Accounts / Ledgers
- Statement of Funds and Securities
- Margin statement relating to my / our account
- Any other important notice, Circular or communications including changes in the terms and conditions of service.

I/We understand that any change in my /our email ID can be made by you only against a physical letter personally signed by me/us, or alternatively if the request is made through the password protected secured access as provided on your website

I/We undertake to check the information so forwarded, regularly and bring the discrepancies, if any to CONCUNSMART's notice within reasonable time frame of issuance/receipt of the same.

I/We also understand that in case mail is not Bounce of the said information than shall be construed as a valid deemed delivery of the same.

Failure on my/our part to check/verify my/our E-mail account on regular basis shall not be a reason for disputing the receipt of the same, at any time and the payment obligations and transactions and trades shall be adhered to and cleared by me/us.

❖ **Authorization for Adjustment between Different Segments & Exchanges**

I/We understand that the regulations governing the Capital Market do not encourage adjustment of balances arising out of dealings of clients in different segments or Exchanges. However while appreciating the above, I/We would like to avoid inconvenience of making and receiving multiple payments and also make potential use of my/our funds, in my/our opinion, this optimization is possible, if I/We can deal with my/our account with you on a consolidated basis.

I/We therefore request & voluntarily authorize you to consider my/our account on a consolidated basis, accordingly you may adjust Inter-Exchange or Inter-segment, Debit & Credit balances with each other without reference to me/us and the same shall not be objected to by me/us.

❖ **Right to exercise set-Off/ Lien and sharing of information**

With respect to Account Opening Form signed by me/us, we authorize you as under:

That I/We, am/are a client of CONCUNSMART for investing/trading in securities /permitted for dealing on the respective Exchange and also for investing/trading in those contracts admitted/permitted for dealing on the Derivatives Market segment of the respective Exchanges.

That in respect to the aforesaid applications. I/We hereby authorise CONCUNSMART to exercise the rights to set off the balances in my/our accounts with CONCUNSMART in any segment/exchange with respect to monies and securities or other prospective's, which CONCUNSMART may hold on my/our account, shall be held subject to general lien for the discharge of my/our obligations to CONCUNSMART under these agreements. The right of lien and set-off conferred to CONCUNSMART by me/us are as follows.

1. All securities in the demat account opened (if any) with the depository participant, and in beneficiary A/c. shall be subject to lien for the discharge of any or all payments due to CONCUNSMART from me/us or any other obligations to CONCUNSMART and may be held by CONCUNSMART as a security against default by me/us in respect of the services already availed of by me/us from CONCUNSMART
2. The enforcement of the lien aforementioned shall be at the sole and complete discretion of CONCUNSMART.
3. I/We agree that CONCUNSMART shall have the right of set-off amongst all trading account (S) maintained by me/us with CONCUNSMART.
4. The right of set-off as aforesaid shall extend to my/our trading accounts with respect to all broking transactions with CONCUNSMART as though such accounts are maintained with CONCUNSMART.

**I/We hereby further declare that the above information is true and correct to the best of my/our knowledge & belief.**

5. In case of debit balance in my/our account, if before it is not paid by me/us as per the settlement schedules you are authorized to sell/pledge at any point of time the securities purchased/Held by me/us. If Loss/Profit occurs on these transactions it will be treated as normal sale or purchase and I/We agree to pay the balance amount if any.
6. I/We agree that CONCUNSMART may share the information provided by me/us pertaining to my trading and demat account to it's Group companies as and when required.

Further more, the a fore stated lien/set-off conferred on CONCUNSMART shall be in force even after the termination of my/our relationship as a client of CONCUNSMART until all obligation under the said agreement (S) are satisfied fully by me/us.

❖ **Authority to debit the Demat Account Operating Charges**

This has reference to my/our Trading & Beneficiary accounts opened with CSSB, the particulars of which are as under.

Beneficiary Client Id	
Trading Account Code	

With regard to these accounts, and for the sake of my/our operating convenience, I/We request and authorize you to debit the bills raised by you, pertaining to the depository services, provided to me/us to my/our trading account only. Any such sum debited to my/our Trading account shall be binding on me/us, and shall not be objected to.

Kindly do the needful as requested

❖ **Authorization for Alternate mode of Order Placement**

This is to inform you that I/We understand that the preferred mode for placing of orders for the buying & selling of Securities and/or derivative contract is in writing, however I/We may find it extremely difficult to place orders in the desired manner (i.e. in writing) and due to practical considerations I/We request you to accept verbal and/or telephonic orders placed by me.

I/We shall bear full risk & responsibility for my/our verbal and/or telephonic orders and shall not dispute the same, for those not being in writing.

❖ **DECLARATION OF EMPLOYMENT / ASSOCIATION WITH MARKET PARTICIPANT**

I/We, being a client of CONCUNSMART, hereby declare as under:

- I am/We are not a Member/Broker of any Stock Exchange  
or
- I am/We are a Member/Broker of following Stock Exchange/s

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- I am/We are neither a Authorise Person nor affiliated in any other capacity to any Member/Broker of any Stock Exchange  
or
- I am/We are a Authorise Person affiliated to following Member/Broker of Stock Exchange/s

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- I am/We are not an employee of any Stock Broker, Authorise Person or any Stock Exchange.  
or
- I am/We are employed by the following Stock Broker/Authorise Person/Stock Exchange.

I/we for hereby declare that I am/we are a Stock Broker/Authorise Person of the \_\_\_\_\_ (name of the Stock Exchange where client is a member) and in this regard pursuant to SEBI circular SEBI/MIRSD/CIR - 06/2004 dated January 13, 2004, I/we hereby confirm that.

1. I/we have intimated the said Exchange of my/our intention to open a trading account with CONCUNSMART only for the purposes for my/our proprietary trades (acknowledged copy of intimation letter is attached herewith).
2. I/we do not have trading account with any other Stock Broker/Authorise Person of any recognized Stock Exchange other than the above and we do hereby declare that I/we am/are not in any capacity connected to any other recognized stock exchange.

<b>Client Signature</b>	11/22	<b>Place</b>	
<b>Client Name :</b>		<b>Date</b>	/ / 20

**NOTICE ON IMPORTANT ISSUES**

We draw your attention to certain important issues relevant to your trading activity through us, you must read, understand and agree to abide by the advice given hereunder in respect to the dealing between us. Kindly sign at the end of this document to signify your understanding and acceptance of the matters notified to you herein.

- Please note CONCUNSMART SHARES & STOCK BROKER PRIVATE LIMITED, does the proprietary trading.
- Please note that the Stock Brokers are not permitted to run any schemes giving "Fixed Returns" to the Clients, and accordingly CONCUNSMART, doesn't operate any scheme giving "Fixed Returns", and you are therefore advised & cautioned to not to be deceived by any person, offering or requesting you join any such scheme.
- Please note that company does not accept cash for any dealings with our clients, whatsoever and accordingly once again caution, advise & warn you to refrain from making any payment to the Company or any person in representative capacity, in cash. We inform you that any payment made by you should only be made through secure banking channels i.e valid & crossed negotiable instrument, compliant with the Rules & Regulations or online, through RTGS/NEFT etc in name of CONCUNSMART only. Further, credit for payments received is given to the Client's Account, only where the payment has been made from the account of the Client mapped with us, which CONCUNSMART shall not bear any risk & responsibility, whatsoever.
- We do hereby inform and notify you that unless otherwise specifically agreed by us in writing, our services do not go beyond the scope as mentioned in the documents executed between us, accordingly the role envisaged to be played by CONCUNSMART is restricted to facilitating, only and only as a stock - broker, the execution of transactions intended to be executed by you on your own risk and responsibility and as considered suitable by you, taking into consideration, your risk bearing capacity.
- Further you are also informed that none of the employee or agent of CONCUNSMART is authorized to offer any investment advice, and therefore you are advised to refrain from seeking or place reliance on the advice from any such person. If despite this notice, you choose to seek and rely on any advice, offered by any person, whether related or unrelated to CONCUNSMART in any manner, you shall be doing so at your own risk and responsibility, and the dealings between you and the person offering the advice shall be absolutely personal between you, and such person, for which CONCUNSMART will not assume any liability.
- We also reiterate here that the Stock Market is highly volatile and unpredictable market and it is impossible for anyone to predict the future developments in the marketplace, there fore any one assuring you any returns should not be relied upon by you. Further you are also advised to not to allow or authorize any person to trade on your behalf, in expectation of any assured profit, and must understand that where you authorize or allow any person on your behalf, you would be entering into a "Principle-Agent" relationship with such person and therefore be entirely responsible for his actions, whether resulting into "Loss" or "Profit".

**Declaration by Client**

I/We have read and understood the contents of the above notice, and state that I/We have no objection to anything mentioned therein and do also hereby agree to abide by the advice offered in the Notice.

<b>Client Signature</b>		<b>Place</b>	
	12/22		
<b>Client Name :</b>		<b>Date</b>	/ / 20

**Mobile phone communication /SMS Alerts**

This is to confirm that my / our registered mobile number, which is as under, is registered in my / our name / in the name of our organization \_\_\_\_\_ who is related to me as my spouse / Dependent child / Dependent parent/supporting parent\* (Strike off the inapplicable) or our authorized person

+	9	1	-																
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Further I/We hereby request and authorize you to provide any information relating to my/our account (Bill/Summary/Receipts & payments margin by way of telephonic calls or SMS alerts.

Sending of the above information to me the manner as above shall not be construed as violation of "Do Not Disturb Registry (DND)" made by me. I understand that providing of the information in the above manner is an additional and voluntary service on your part, and therefore any failure on your part to provide any information in the above manner, for any reason what so ever, shall not give me/us a right to make a claim against you. Delivery of any information made to me/us in the above manner shall be considered as valid delivery of the information so provided.

**Client Signature** \_\_\_\_\_ 13/22

**CLIENT DECLARATION**

I / We having complied with the requisite formalities, as prescribed, for being a Client, have opened a trading account with CONCUNSMART .

I/We declare and affirm as under:

- I have attained the age of 18 and do not suffer from any conditions which make me ineligible for entering into a valid and binding contract.
  - I/We hereby give my/our consent to link my/our Aadhaar details as per SEBI guidelines.
  - I/We am/are regular investor in the stock markets in India.
  - I/We am/are conversant with the laws, practices, rules, regulations, guidelines, Circular, etc. including, but not limited to those prescribed by the Securities and Exchange Board of India (SEBI), Reserve Bank of India (RBI) and Exchanges. I /We also undertake to keep myself overduers informed and understand the implications of any changes that are made of such laws, practices, rules, regulations, guidelines, Circular etc.
  - I/We give an undertaking that I/We shall not deal with CONCUNSMART in any prohibited manner.
  - I/We understand that I/We have been advised not to take any investment advice from any of the employees or associates of CONCUNSMART, and in case I/We do so, despite the advice against the same, I/We shall be acting at my/our exclusive risk and responsibility.
  - I/We agree to accept any measures; which in the opinion of CONCUNSMART , are required to enhance security procedures, in relation to my/our account & trading.
  - CONCUNSMART may, though it is not bound to, create voice-logs by recording the conversation made, while placing any orders by me, and such voice logs, wherever available, shall be the conclusive proof of the dealings made by me/us.
  - I/We hereby further declare that I/We will not give any third party shares for settlement of my/our obligations to the Exchange.
  - **I/We hereby further declare that I/We will not receive or give any money in cash or in kind during the course of my dealings with CONCUNSMART**
  - I/We hereby further declare that I am/We are not party to any illegal practices that may be prevalent in the Stock Market.
  - I/We hereby authorize CONCUNSMART to adjust the amount due from/to me/us against my/our dues to/from any of trading activities in the Securities or Commodities market segment based on my consent / request in writing and such adjustment, wherever made after due notice to me/us shall be binding on me/us and therefore not be objected to, by me/us.
  - I/We hereby further declare that I/We will not carry out any unfair trade practices such as Synchronized deals, Structured deals, Circular Trading in the Capital Market and Future and Options segment.
  - I/We hereby further declare that I/We will not place any order on the Exchange which will reflect as an arrangement for profit or loss transactions. All the orders placed on the exchange will be in the normal market where there is a corresponding underlying securities position in the cash or futures segment of the respective Exchange.
  - I am/We are aware and I/We agree to pay CONCUNSMART the brokerage, commission, fees, processing fees, and other charges which are prescribed by regulators as they exist from time to time and as apply to the client account and transactions and the services that the client receives from the CONCUNSMART subject to the securities Business only.
  - I/We hereby further declare that I/We am/are aware of the provisions of the **Prevention of Money Laundering Act 2002 (PMLA)** as far as they concern me/us as an investor/client and I /we declare that I /we have never been convicted / barred from dealing in securities market in the past under the said Act.
  - I/We confirm that I am/We are in compliance with the "Know Your Client" (KYC) guidelines including conducting due diligence required for Anti Money Laundering (AML) checks as prescribed by the FIU / SEBI. I/We further confirm that all KYC and due diligence process has been followed on a continuous basis as long as our account continues to be held with Trading Member. Further I/We agree to submit / provide all the statutory documents / other compliance details pertaining to my / our trading account whenever required, to fulfil my/ our obligation under PMLA.
  - We hereby / further declare that I/we will not indulge either directly or indirectly in any of the above mentioned fraudulent or unfair trade practice either individually or in concert with other persons / entities. In the event of any of the above fraudulent or unfair trade practices are noticed by the exchange or regulatory authorities, then I/we shall be solely responsible for such acts as noticed and you shall not be responsible for my /our illegal and fraudulent and unfair trade practices in the capital market segment and future and options segment of the exchange.
  - I/We understand that CONCUNSMART shall not be responsible of any losses, costs or damages resulting directly or indirectly from the below mentioned circumstances :
    - i) any action, omission, suspension or trading, decision or ruling or any exchange or regulatory, governmental or other body or of any other person which is beyond the Trading Member's control(including floor broker, exchange, dealing or clearing house).
    - ii) any war, strike, lock-out, national disaster, act of terrorism, delay in postal service or any other delay or inaccuracy in the transmission of orders of other information, or telecommunication or computer system.
    - iii) I/We further understand that the above Force Majeure events do not exempt me/us to fulfill the obligations in my/our account.
  - I/We read and understood the set of documents provided to me/us, and understand that this set of documents, from the date of its execution, supersedes the previous documentation, if any executed between me/us and CONCUNSMART , for the purpose of opening my/our trading account with them.
  - I/We undertake to check the information so forwarded, regularly to me on my designated email id which is been given by me/us to CONCUNSMART, where I/we will received digitally contract notes / bills /statement of accounts / ledgers / statement of funds / securities / Margin statement relating to my / our account or any other important I relevant documents / circular or communications including the charges in the terms and condition of the services.
- Further, I/We confirm that I/We will bring discrepancies, if any, to the notice of CONCUNSMART, preferably within 24 hours of the issuance / receipt of the details which are mentioned above.

I/We hereby give this declaration to you without any coercion with sound mind and voluntarily to you which shall be part of my/our Client Registration Form and Account Opening Form on the dates mentioned therein.

<b>Client Signature</b>	14/22	<b>Place</b>	
<b>Client Name :</b>		<b>Date</b>	/ / 20

### RUNNING ACCOUNT AUTHORISATION

#### CONCUNSMART SHARES AND STOCK BROKER PRIVATE LIMITED

G 405, Arabiana Casa Rio, Beside Pawar Public School, Nilje, Dombivali (E) - 421204.

I/We authorize CONCUNSMART through this Authorisation letter as under;

1. I/We hereby give my/our consent to maintain a running balance in my account and retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/We instruct you otherwise.
2. I/We request you to retain securities with you in your client collateral account for my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing Corporation unless I/We instruct you to transfer the same to my/our account.
3. I/We request you that if any excess securities available in your Client Collateral / Collateral account shall be released to me/us along with funds settlement after making necessary retention of funds and/or collateral as may be permitted by regulators from time to time.
4. I/We request you to settle my fund and securities account (choose one option)
  - Once in a calendar Month
  - Once in every calendar Quarter except the funds given towards collaterals/margin in the form of Bank Guarantee and/or Fixed Deposit Receipt.
5. I/We am/are aware of the NSE Circular NSE/INSP/43250 dated 16.01.20, were all the account shall be settled on my selected preference of Monthly/quarterly basis after ensuring a gap of maximum 30/90 days between two running account settlements.
6. CONCUNSMART shall not retain the value of funds & securities to the extent of the value of turnover (gross turnover) executed on date of settlement in cash market segment.
7. In case of my open position or transaction on the settlement day, CONCUNSMART as per above circular, may retained funds/securities at the time of settlement;
  - i) Entire pay-in obligation of funds & securities outstanding at the end of day on date of settlement
  - ii) Apart from margin liability as on the date of settlement, in all segments, additional margins (maximum up-to 125% of margin requirement on the day of settlement) as per the risk management policy.
  - iii) An amount of up to Rs 10,000/- (net amount across segment and across stock exchanges) and in the manner prescribed in Exchange Circular NSE/INSP/36889 dated February 02, 2018.
8. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/ funds towards such obligations and may also retain the funds expected to be required to meet margin obligation for the next 5 trading days, calculated in the manner specified by the exchanges.
9. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing preferably within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.
10. I/We confirm that I can revoke the above mentioned authority at any time and this running account authorization would continue until it is revoked by me.
11. Further, I/we authorize you to deposit the securities available in your client collateral/ collateral account with clearing members for margin purposes.

<b>Client Signature</b>	15/2/22
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#### FOR OFFICE USE ONLY

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on our website, for the information of the clients.

#### UCC Code allotted to the Client

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the employee			
Date			
Signature			
<b>Authorised Person Signature</b>	For CONCUNSMART SHARES & STOCK BROKER PRIVATE LIMITED	<b>Place</b>	
	Authorised Signatory		
<b>Authorised Person Name</b>		<b>Date</b>	/ / 20



# **DP Section**

<b>DEMAT ACCOUNT RELATED DETAILS</b>
--------------------------------------

<b>Application No.:</b>	(To be filled by Depository Participant)	<b>Date:</b>	<table border="1" style="width: 100%; text-align: center;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y				
<b>DP Internal Ref. No.:</b>	<input type="checkbox"/> <b>CDSL DP ID:12091400</b>	<b>Client ID:</b>	<table border="1" style="width: 100%; text-align: center;"><tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr></table>								

(PLEASE TICK WHICHEVER IS APPLICABLE & DETAILS TO BE FILLED BY THE APPLICANT IN **BLOCK LETTERS** IN ENGLISH)  
 I / We request you to open a Demat /Trading Account in my / our name as per the following details:

Name of the Holders	UID(Please write last 4 digit of Aadhar)	PAN	GST Identification Number
Sole/ First Holder's Name	XXXXXXXXX_____		
Second Holder's Name	XXXXXXXXX_____		
Third Holder's Name	XXXXXXXXX_____		

In case of Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name, PAN & GST Number of the Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below:

Name	PAN	GST Identification Number

<b>A. TYPE OF ACCOUNT</b>
---------------------------

<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual HUF/AOP <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Director <input type="checkbox"/> Individual Margin Trading A/c (MANTRA) <input type="checkbox"/> Minor <input type="checkbox"/> Others (please specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI - Depository Receipts <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (please specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (please specify) _____

<b>Details of Guardian (In case the account holder is minor)</b>
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Guardian's Name	PAN								
Relationship with the Applicant									
In Case of NRIs/foreign Nationals									
RBI Approval Ref. No.	RBI Approval Date <table border="1" style="width: 100%; text-align: center;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		

<b>B. STANDING INSTRUCTIONS</b>
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Account Statement *	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly Mode of receiving Statement of Account <input type="checkbox"/> Physical Form <input type="checkbox"/> Electronic Form (ensure that email ID is provided in CKYC Form)
Automatic Credit*	I / We instruct the DP to receive each and every credit automatically in my / our account <span style="float: right;"><input type="checkbox"/> Yes <input type="checkbox"/> No</span>
ECS Mandate *	I/We wish to receive dividend/interest directly in to my bank account as given below through ECS [ECS is mandatory for locations notified by SEBI from time to time] <span style="float: right;"><input type="checkbox"/> Yes <input type="checkbox"/> No</span>

\* If not marked, the default option will be "Yes"

Easi To register for easi please visit CDSL website <a href="http://www.cdslindia.com">www.cdslindia.com</a> Easi allows a BO to view his ISIN balance, transaction and value of the portfolio online.	<input type="checkbox"/> Yes <input type="checkbox"/> No
--	--

POA Account to be operated through Power of Attorney (POA)	<input type="checkbox"/> Yes <input type="checkbox"/> No
--	--

SMS Alert Facility	[Mandatory if you are giving Power of Attorney (POA). Ensure that the mobile number is provided in CKYC Form]					
	Sole/First Holder		Second Holder		Third Holder	
	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

I/We wish to receive the Consolidated Account Statement from Depository	<input type="checkbox"/> Yes <input type="checkbox"/> No
---	--

I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
---	--

I / We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
--	--

I / We would like to receive the Annual Report (If not marked the default option would be Physical)	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both
---	---

Option for issuance of Delivery Instruction Slip (DIS) booklet alongwith account opening (to be filled by persons seeking to open depository account who have given Power of Attorney to operate the depository account to a Stock Broker / Portfolio Manager for executing delivery instruction for setting stock exchange trades & do not intend to open a Basic Services Demat Account) Options for Issue of DIS booklet (please tick any one)

Option 1 <input type="checkbox"/> I/We do not wish to receive the DIS booklet with account opening. However, the DIS booklet should be issued to me/us on my/our request at any later date.
---

Option 2 <input type="checkbox"/> I/We wish to receive the Delivery Instruction Slip (DIS) booklet with account opening.
--

Basic Services Demat Account (BSDA) Confirmation	<input type="checkbox"/> I/We wish to opt for BSDA <input type="checkbox"/> I/We do not wish to opt for BSDA till my fruther instructions from my side
--	---

<b>A. FIRST HOLDER - DETAILS FOR DP</b>
---

First Name				Please affix the recent passport size photograph & sign across it
Middle Name				
Last Name				
Father / Husband Name				
Title		<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other		
Permanent Address				
City		State		
Tel No.		Fax No.		PIN
PAN		Mobile No.		
E-mail ID		UID No.		
Date of Birth	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y	Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female	
Nationality	<input type="checkbox"/> India <input type="checkbox"/> Others (specify) _____			
Occupation	<input type="checkbox"/> Services <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public/Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Professional <input type="checkbox"/> Others (specify) _____			

<b>B. JOINT HOLDER - SECOND HOLDER'S DETAILS FOR DP</b>
---

First Name				Please affix the recent passport size photograph & sign across it
Middle Name				
Last Name				
Father / Husband Name				
Title		<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other		
Permanent Address				
City		State		
Tel No.		Fax No.		PIN
PAN		Mobile No.		
E-mail ID		UID No.		
Date of Birth	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y	Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female	
Nationality	<input type="checkbox"/> India <input type="checkbox"/> Others (specify) _____			
Occupation	<input type="checkbox"/> Services <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public/Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Professional <input type="checkbox"/> Others (specify) _____			

<b>C. JOINT HOLDER - THIRD HOLDER'S DETAILS FOR DP</b>
--

First Name				Please affix the recent passport size photograph & sign across it
Middle Name				
Last Name				
Father / Husband Name				
Title		<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other		
Permanent Address				
City		State		
Tel No.		Fax No.		PIN
PAN		Mobile No.		
E-mail ID		UID No.		
Date of Birth	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y	Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female	
Nationality	<input type="checkbox"/> India <input type="checkbox"/> Others (specify) _____			
Occupation	<input type="checkbox"/> Services <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public/Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Professional <input type="checkbox"/> Others (specify) _____			

**For DP: I / We** have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

First/Sole Holder	Second Holder Signature	Third Holder Signature
16/22	1/4	1/4

### CHARGES STRUCTURE FOR DP CLIENTS

I/We aware about the BSDA facility offered by Concunsmart Shares and Stock Broker Private Limited Still. I would like to go for schemes mentioned below going forward. I would like to continue with below schemes even though I am eligible for BSDA

SN	Particulars	<input type="checkbox"/> 400 Scheme
1	<b>Demat Account Opening</b>	<b>NIL</b>
	annual maintenance charge	400
	Power of Attorney (POA) Franking	<b>NIL</b>
	FAX Indemnity	200/-
	CM / Corporates / Others	1000/-
2	<b>Account Closing charges</b>	<b>NIL</b>
3	<b>Dematerialisation</b> - per Certificate, (courier charges Extra)	10/-
4	<b>Rematerialisation</b> - per Certificate, (courier charges Extra)	Rs.10 per 100 securities or part ther of or Rs.20 per certificate whichever is higher
5	<b>Debit Transaction</b>	
	Market	16/-
	Off market/Inter Depository	15/-
6	<b>Pledge</b>	
	Creation	35/-
	Invocation	10/-
	Closer	10/-
7	<b>Other Transaction</b>	
	Late Transaction (Per Transaction )	10/-
	Transmission	<b>NIL</b>
	Nomination	<b>NIL</b>
	Modification of a/c detail	<b>NIL</b>
	Freezing /Defreezing	<b>NIL</b>
	Failed Instruction	<b>NIL</b>
	Additional Statement (quarterly)	25/-
	Additional Statement (annually)	50/-
	Cheque Dishonoured charges (Per Instance)	100/-
	Additional DIS Book (per book)	35/-
	Lost DIS Book (per book)	70/-
8	<b>Easiest - CDSL Clients</b>	
	Password User	<b>NIL</b>
	Smart card User	<b>NIL</b>
	Easy - Statement Viewer	<b>NIL</b>

Eligibility for new schemes (Terms & Conditions)

- All statement-Transactions/ Holdings / Bills etc. will be on sent via Digital Mode on designated E-mail Ids as mentioned in the KYC.
- Physical statement may be provided additionally to client, if required, on payment of Rs. 25/- only.
- Charges are subject to revision and at the sole discretion of Concunsmart with prior notice of 30 days.
- Concunsmart reserve the right to freeze, discontinue or suspend any account. If required with prior notice of 30 days.
- We will be charging the AMC in advance which will be charged you every year.
- Goods and Services Tax and other regulatory charges would be charged as per prevailing Govt. rules & regulations from time to time, on above charges.

Holder	First/Sole Holder	Second Holder Signature	Third Holder Signature
Signature	<i>17/22</i>	<i>2/4</i>	<i>2/4</i>
Name			

Place : \_\_\_\_\_

Date : \_\_\_\_\_



**Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL  
[SMS Alerts will be sent by CDSL to BOs for all debits]**

**Definitions:**

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

**Availability:**

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

**Receiving Alerts:**

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at [complaints@cdslindia.com](mailto:complaints@cdslindia.com). The BO is advised not to inform the service provider about any such unauthorized debit to/transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.



**Electronic Contract Note [ECN] – DECLARATION**

To,  
**CONCUNSMART SHARES & STOCK BROKER PRIVATE LIMITED**  
C4, Kantilal Maganlal Estate,  
Silk Mills compound, LBS Road, Bhandup (W),  
Mumbai – 400078.

Dear Sir,

I, \_\_\_\_\_ a client with CONCUNSMART SHARES & STOCK BROKER PRIVATE LIMITED. Member of NSE, BSE & MCX.

- I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.
- I am aware that the Member has to provide electronic contract note for my convenience on my request only.
- Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronics contract note pertaining to all the trades carried out / ordered by me.
- I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
- \* My email Id is \_\_\_\_\_ this has been created by me and not by someone else.
- I am aware that this declaration form should be in English or in any other Indian language known to me.

**Note :** The following sentence have to be handwritten by the client :

(The above declaration have been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same.)

\_\_\_\_\_

Client Name \_\_\_\_\_

PAN : \_\_\_\_\_

Unique Client Code : \_\_\_\_\_

Date: \_\_\_\_\_ Place: \_\_\_\_\_

\* Please specify the email ID as per KYC documents

<b>Client Signature</b>	20/22
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To,  
**Concunsmart Shares and Stock Broker Private Limited**  
C4, Kantilal Maganlal Estate, Silk Mills compound,  
LBS Road, Bhandup (W), Mumbai – 400078

Dear Sir/Madam,

I hereby confirm that, I have received a copy of KYC form, POA and Booklet containing the copies of the followings:

1. Rights and Obligations of Member, Authorised Person and Clients
2. Internet & Wireless technology based trading facility provided by Members to client
3. Risk Disclosure Document for Capital Market And Derivatives Segments
4. Guidance Note - Do's And Don'ts for Trading and DP for Investors
5. Rights and Obligations of Beneficial Owner and Depository Participant.
6. Policies and Procedures
7. Risk Disclosure Document for Commodity

I also confirm that I have read and understood the content of the above documents and agree to their contents.

<b>Client Signature</b>	21/22
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### Family Declaration - Email/Mobile Updation in Family Codes

To,  
**Concunsmart Shares and Stock Broker Private Limited**  
 C4, Kantilal Maganlal Estate,  
 Silk Mills compound, LBS Road, Bhandup (W),  
 Mumbai – 400078.

Date:

Dear Sir/Madam,

**Subject: Family Declaration for registering common Mobile / Email details**

I and my family members hereby request that mobile number.....and email id ..... shall be considered in your records for the purpose of receiving communication from CONCUNSMART SHARES & STOCK BROKER PRIVATE LIMITED or Stock Exchanges/Depositories with regard to trading/demat transactions executed by me/us through CONCUNSMART SHARES & STOCK BROKER PRIVATE LIMITED.

Thus, any communication relating to my/our trading and Demat Accounts should be sent to above mentioned mobile number and e-mail id. This facility shall be provided to me/us as an exception, for my/our convenience of receiving transaction details at a single mobile number and e-mail id. I/we understand that for the purpose of availing the above facility by the family members where “Family” means Self, Spouse, Dependent Children and Parents. (As per the SEBI Guidelines).

My relation with the mobile/email holder is as below.

Sr. No.	Client Name	Client ID/DP ID	Relationship
1.			<b>Self (Mandatory)</b>
2.			Mother
3.			Father
4.			Spouse
5.			Daughter
6.			Daughter
			Son
			Son

**(In case of additional Son / Daughter, kindly provide details in separate sheet.)**

**Kindly note that in the above circular HUF is not mentioned hence in case of HUF Please provide separate declaration.**

I confirm that the above mentioned declaration is true and correct. I undertake to bear any consequences Arise in case above information of Mobile No. and or Email id found incorrect.

<b>Client Signature</b>		<b>Place</b>	
<b>Client Name :</b>		<b>Date</b>	/ / 20

## CENTRAL KYC REGISTRY | Instructions / Check list / Guidelines for filling Individual KYC Application Form

### General Instructions:

- 1 Fields marked with '\*' are mandatory fields.
- 2 Tick '✓' wherever applicable.
- 3 Self-Certification of documents is mandatory.
- 4 Please fill the form in English and in BLOCK Letters.
- 5 Please fill all dates in DD-MM-YYYY format.
- 6 Wherever state code and country code is to be furnished, the same should be the two-digit code as per Indian Motor Vehicle, 1988 and ISO 3166 country code respectively list of which is available at the end.
- 7 KYC number of applicant is mandatory for updation of KYC details.
- 8 For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.
- 9 In case of 'Small Account type' only personal details at section number 1 and 2, photograph, signature and self-certification required.

### A Clarification / Guidelines on filling 'Personal Details' section

- 1 **Name:** Please state the name with Prefix (Mr/Mrs/Ms/Dr/etc.). The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2 Either father's name or spouse's name is to be mandatorily furnished. In case PAN is not available father's name is mandatory.

### B Clarification / Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India

- 1 **Tax identification Number (TIN):** TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/insurance number, citizen/personal identification/services code/number, and resident registration number)

### C Clarification / Guidelines on filling 'Proof of Identity [PoI]' section

- 1 If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
- 2 Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.
- 3 In case of Simplified Measures Accounts for verifying the identity of the applicant, any one of the following documents can also be submitted and undernoted relevant code may be mentioned in point 3 (S).

Document Code	Description
01	Identity card with applicant's photograph issued by Central/ State Government Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, and Public Financial Institutions.
02	Letter issued by a gazetted officer, with a duly attested photograph of the person.

### D Clarification / Guidelines on filling 'Proof of Address [PoA] - Current / Permanent / Overseas Address details' section

- 1 PoA to be submitted only if the submitted PoI does not have an address or address as per PoI is invalid or not in force.
- 2 State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
- 3 In case of Simplified Measures Accounts for verifying the address of the applicant, any one of the following documents can also be submitted and undernoted relevant code may be mentioned in point 4.1.

Document Code	Description
01	Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
02	Property or Municipal Tax receipt.
03	Bank account or Post Office savings bank account statement.
04	Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
05	Letter of allotment of accommodation from employer issued by State or Central Government departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies. Similarly, leave and license agreements with such employers allotting official accommodation.
06	Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India.

### E Clarification / Guidelines on filling 'Proof of Address [PoA] - Correspondence / Local Address details' section

- 1 To be filled only in case the PoA is not the local address or address where the customer is currently residing. No separate PoA is required to be submitted.
- 2 In case of multiple correspondence / local addresses, Please fill 'Annexure A1'

### F Clarification / Guidelines on filling 'Contact details' section

- 1 Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
- 2 Do not add '0' in the beginning of Mobile number.

### G Clarification / Guidelines on filling 'Related Person details' section

- 1 Provide KYC number of related person if available.

### H Clarification / Guidelines on filling 'Related Person details – Proof of Identity [PoI] of Related Person' section

- 1 Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.

## List of two – digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State / U.T	Code	State / U.T	Code	State / U.T	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Pondicherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	CH	Lakshadweep	LD	Telangana	TS
Chattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra and Nagar Haveli	DN	Maharashtra	MH	Uttar Pradesh	UP
Daman & Diu	DD	Manipur	MN	Uttarakhand	UA
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa	GA	Mizoram	MZ	Other	XX
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Orissa	OR		

## List of ISO List of ISO 3166 two- digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GQ	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Malta	MT	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Islands	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Islands	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Mayotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SJ
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	NR	Taiwan, Province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands, British	VG
Cote d'Ivoire   Côte d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion   Réunion	RE	Virgin Islands, U.S.	VI
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao   Curaçao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy   Saint Barthélemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French part)	MF		

BA/Franchise Name Complete Address	
City Pin Mobile Number	

CCM REF . No.		
PROCESS	DONE BY	DATE & SIGN
INWARD		
DATA ENTRY [MAKER]		
VERIFIER [CHECKER]		
SIGNATURE		
POST AUDIT		
AUDIT		
DISPATCHED		

CLIENT CODE														
DP ID	1	2	0	9	1	4	0	0						
BRANCH CODE														
BRANCH NAME														

**Registered Office:** G 405, Arabiana, Casa Rio, Beside Pawar Public School, Nilje, Dombivali (E) - 421 204.

☎.: +91 022 - 6292 1600

**Corporate Office:** C4, Kantilal Maganlal Estate, Silk Mills compound, LBS Road, Bhandup (W), Mumbai – 400078.

☎.: +91 022 - 6292 1600

**Branch Offices:**

**Dadar Branch:** Shop No. 2, Shiv Sangam Society, Gopi Tank Road, Behind Raja Rani Travels, Shivaji Park, Road No. 5, Mahim (West), Mumbai - 400016. ☎.: +91 85913 93696

**Thane Branch:** D6, Rajmata CHS Ltd, Plot No. 62, MHADA Colony, Sawarkar Nagar, MSEB Office, Thane West - 400606.

☎.: +91 022 - 6292 1600

**Virar Branch:** G-23, Cosmos Square, Chiklal Dongri Road, Global City, Virar West - 401303.

☎.: +91 022 - 6292 1600

**Malvan Branch:** Shop No. 2798, K-16, Sitai Complex, Near ST Depot, Malvan - 416 606.

☎.: +91 2365 - 295573

**Dombivli Branch:** 1st Floor, Omkar Building, Maulana Aazad Road, Above Axis Bank, Dombivli (E), Pin Code - 421201

☎.: 0251 - 6761100